

Suggested seminar agenda

Information Security for managers and risk managers

as of: February 2011

Please note that details on topics presented, exercises suggested and duration of subjects will be adopted according to client requirements. The training program can also be customised and delivered in house.

Essential Information Security

This seminar is offered to senior managers and risk managers of financial institutions who would like to complement their know-how about risk management and control functions of the institution with all aspects of information security. The seminar is designed along the standards established under ISO 27001 / 17799. In this suggested 1 or 2 day course participants will learn about

- Globally established best practice of Information Security
- The essential components of an Information Security Management System
- Integration of Information Security into the business
- Efficient Coordination of Information Security with Risk Management, Compliance, Business Continuity, Audit and other security functions

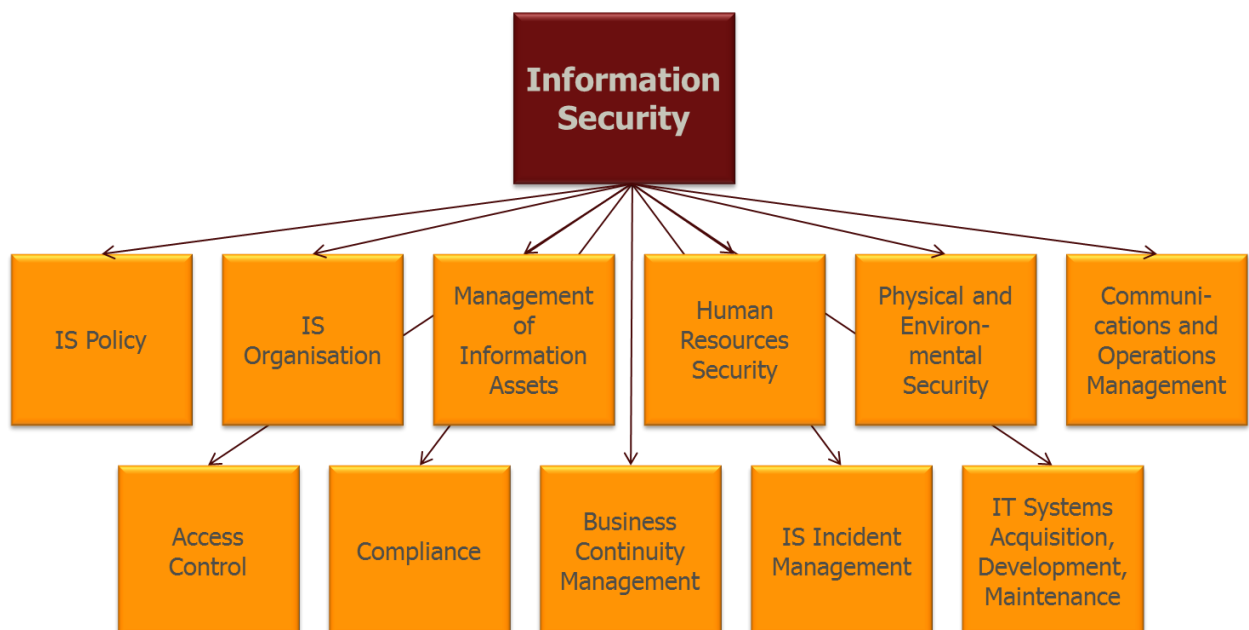
The target audience for this seminar are Managers, Risk Managers, COOs and people with management responsibility for Information Security in their institutions.

Day 1

The importance of information confidentiality, integrity and availability

- Examples of information security events and their impact on the affected firms

Overview on an Information Security Management System as suggested by ISO 27001



- Information Security Policy
 - Setting out the basic standards for your institution and integrating IS into your corporate governance scheme
- Organising Information Security
 - Roles and responsibilities, internal and external
- Asset Management
 - Which information assets do you have and how are they protected?
 - Ownership and use
 - Classification and Labeling
- Human Resources Security
 - Which key persons do you have from an IS point of view and how do you manage them?
 - Recruitment, Management, Termination
- Physical and Environmental Security
 - Buildings, rooms, vaults, wirings, disposal etc.
- Communications and Operations Management
 - Documentation
 - Change management
 - System planning
 - Protection against malicious software and similar threats
 - Media handling
 - Back ups
 - Information exchange
 - Monitoring
- Access Control
 - User access management, access recertification for access to files, network, systems
 - User responsibilities
- Information Systems Acquisition, Maintenance and Development
 - Defining security requirements for IT systems
 - Testing of systems
 - Encryption
 - Change control and fall back
- Information Security Incident Management
 - Processing and reporting of events in line with risk management principles
- Business Continuity Management
 - IS aspects of Business Continuity Management
- Compliance
 - Legal requirements
 - Internal requirements
 - Audit considerations

summary of day 1

Day 2

interactive summary of day 1

How to get started with Information Security in an institution

- Defining roles and responsibilities
- Skillsets of an IS Manager
- Information Security Risk Assessment
- Starting with an Information Asset Inventory

Coordination with Risk Management, Compliance, Audit and other security functions

- Integration of Business Continuity in Information Security and vice versa
- Compliance aspects
- The role of Risk Management
- Audit responsibilities

Cooperation and communication with business departments

- Management support
- Carrot and stick: rule enforcement and advocacy
- Constant dialogue between BC managers and business managers
- Clear competences for all security functions

Communication and Reporting about Information Security

- Communication as part of security event management
- Regular communication about IS activities
- Presentations to investors, insurers, rating agencies, large clients, business partners, ...

Outlook

- Regulatory developments
- Expectations from business partners, clients, ...
- Unification of security functions – less silos, better results
- How to continue developing your company's IS Management capabilities

Summary of seminar

Spreadsheets and sample documents for many of the solutions presented will be distributed to participants to put in practice quickly what has been learnt.

If you are interested in setting up a seminar or training program for your institution, please contact us to discuss further details. We are looking forward to hearing from you via e-mail at info@nomorisk.com or over the telephone on +49 (0)69 17554020-0.

For further information on other training programs and services please visit our website: www.nomorisk.com